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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J1046 U.S. PTO
10/03/01
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Patent Application of: Mark J. Smith

Title: SYSTEMS AND METHODS FOR WEALTH MANAGEMENT

Attorney Docket No.: 1117.001US1

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

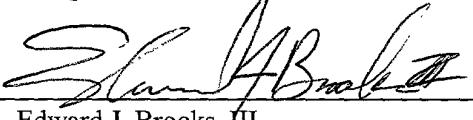
- Return postcard.
- CONTINUATION** of prior Patent Application No. 00/16,804 (under 37 CFR § 1.53(b)) comprising:
- Specification (41 pgs, including claims numbered 1 through 25 and a 1 page Abstract).
- Formal Drawing(s) (7 sheets).
- Signed Combined Declaration and Power of Attorney** (3 pgs).
- Incorporation by Reference: *The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.*
- Check in the amount of \$564.00 to pay the filing fee.
- Preliminary Amendment (1 pgs).
- Applicant claims small entity status under 37 C.F.R. 1.27.

The filing fee has been calculated below as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	26 - 20 =	6	x 9 =	\$54.00
INDEPENDENT CLAIMS	3 - 3 =	0	x 42 =	\$0.00
[X] MULTIPLE DEPENDENT CLAIMS PRESENTED				\$140.00
BASIC FEE				\$370.00
	TOTAL			\$564.00

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